Under the Paterwork Reduction Act of 1995. no recommendation and 1995. no recommendation and 1995.	persons are required to respond to a collection Application Number  Filing Date  First Named Inventor	PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 at and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number. 10/707,474  12/17/2003 Ching-Hsiang Hsu			
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks	After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm or Individual name  Signature  Date  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date

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OIPE CASSING

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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Complete if Known				
Application Number	10/707,474			
Filing Date	12/17/2003			
First Named Inventor	Ching-Hsiang Hsu			
Examiner Name				
Art Unit				
Attorney Docket No.	EMEP0056USA			

METHOD OF PAYMENT (check all that apply)  FEE CALCULATION (continued)	
Check Credit card Money Other None 3. ADDITIONAL FEES	
Penosit Account:	
Penosit Fee Fee Fee Fee Fee Fee Description	Fee Paid
Account Number 50-0801 1051 130 2051 65 Surcharge - late filing fee or oath	Tee Faid
Deposit North America International Patent Office 1052 50 2052 25 Surcharge - late provisional filing fee or	
Account North America international Patent Office cover sheet  Name cover sheet  1053 130 1053 130 Non-English specification	
The Director is authorized to: (check all that apply)	
Charge fee(s) indicated below Credit any overpayments 1804 920* Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)  Examiner action	<b></b>
Charge fee(s) indicated below, except for the filing fee 1805 1,840* Requesting publication of SIR after to the above-identified deposit account.	
FEE CALCULATION 1251 110 2251 55 Extension for reply within first month	
1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month	<del></del>
Large Entity Small Entity 1253 950 2253 475 Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid 1254 1,480 2254 740 Extension for reply within fourth month	$\vdash$
1001 770 2001 385 Utility filing fee 1255 2,010 2255 1,005 Extension for reply within fifth month	<b>——</b>
1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal	
1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing	
1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00   1452   110   2452   55 Petition to revive - unavoidable	
1453 1 330 2453 665 Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue)	
Extra Claims below Fee Paid 1502 480 2502 240 Design issue fee	
Total Claims20** = X = 1503 640 2503 320 Plant issue fee	
Claims - 3** = X = 1460 130 1460 130 Petitions to the Commissioner  Multiple Dependent	
1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Description 1806 180 Submission of Information Disclosure Stmt	
Code (\$) Code (\$) 8021 40 Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filling a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3 (37 ČFR 1.129(a))	<b> </b>
1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0.00 Other fee (specify)	
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.0	0

of number previously paid, it greater, For Reissues, see above			(Ψ)		
SUBMITTED BY				(Complete	(if applicable))
Name (Print/Type)	Winston Hsu	11-4	Registration No. (Attorney/Agent) 41,52	.6 Telephone	886289237350
Signature		Windo	n Han	Date	12/18/200

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PTO/SB/08B (10-01)
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known			
			OL COLLDE	Application Number	10/707,474		
			CLOSURE	Filing Date	12/17/2003		
			PPLICANT \	First Named Inventor	Ching-Hsiang Hsu		
				Group Art Unit			
	(use as many shee	ats as	s necessary)	Examiner Name			
Sheet	1	of	1	Attorney Docket Number	EMEP0056USA		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
	1	C.CH. HSU, et al.; A High Speed, Low Power P-Channel Flash EEPROM Using Silicon Rich Oxide as Tunneling Dielectric; Extended Abstracts of the 1992 International Conference on Solid State Device and Materials, Tsukuba, 1992, pp. 140-142	
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Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.